



## Nikesh Pandit

Year of call: 2010 (Training and practising as a solicitor: 2015-2020)

*"Nikesh Pandit worked particularly hard...and produced some very clear papers"*

Regulator

npandit@4-5.co.uk

## Practice Summary

Nikesh focuses on regulatory and disciplinary law and has a particular interest in financial regulation. Nikesh also has experience in broader public and administrative law matters.

Nikesh joined 4-5 Gray's Inn Square in July 2020 following successful completion of a period of pupillage in Chambers. Prior to joining Chambers, from 2015-2020, Nikesh trained and practised as a solicitor with civil higher rights in Jones Day's financial regulation team. In this role, Nikesh completed secondments to the UK Financial Conduct Authority's Enforcement and Market Oversight Division and a regulatory team at Goldman Sachs. Additionally, Nikesh has experience in European corporate governance matters through completing an internship at a former subsidiary of Morgan Stanley, MSCI Inc., in Brussels.

Nikesh has experience in FCA interviews, regulatory interventions (including skilled person reviews and other supervisory measures), information requirements, and penalty calculations as well as in presenting to senior decision-making organs within the FCA. Nikesh also has experience in advising on non-contentious financial regulation matters, particularly collective investment schemes and alternative investment funds.

Nikesh's interest in broader public and administrative law matters stems from his MPhil master's degree studies at Cambridge focusing on the legitimacy, accountability and discretion of EU law enforcement, and subsequent work as a judicial review paralegal and advocate for the UK Home Office. In this latter role, he gained extensive tribunal advocacy experience.

## **Regulatory & Disciplinary**

Through his prior practice as a financial regulation solicitor and secondments to the FCA and Goldman Sachs, Nikesh has experience in a wide spectrum of contentious and non-contentious FCA regulatory matters.

Nikesh's contentious financial regulation experience includes presenting to senior decision-making organs within the FCA; regulatory interventions (e.g. variation of permissions, undertakings and skilled person reviews); compelled information requests; compelled interviews; penalty calculations; and regulatory remediation and restitution programmes.

Nikesh's non-contentious financial regulation experience includes advising on firm and individual regulatory authorisation applications and approvals (e.g. in relation to senior managers, approved persons, certification staff and controllers). Nikesh advises on regulatory structuring matters and perimeter queries, particularly in relation to collective investment schemes and alternative investment funds.

Nikesh is also available to accept instructions in regulatory and disciplinary law matters beyond financial services, including in relation to the medical professions; lawyers; architects; clergy; sports; police; public safety; environmental issues; and licensing.

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## **Public & Administrative**

Nikesh is available to accept instructions in suitable public and administrative law matters, including statutory appeals, inquiries, judicial review and non-contentious advisory matters. He is particularly interested in public law challenges in the financial services context.

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## **Education**

MPhil, Fitzwilliam College, University of Cambridge

LLB (Hons), University of Manchester

BVC & LPC (accelerated)

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## **Professional Memberships**

The Honourable Society of Lincoln's Inn

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## **Languages**

German (fluent)

Gujarati (oral)

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