4-5 GRAY'S INN SQUARE clerks@4-5.co.uk +44 (0)20 7404 5252



Rowena Wisniewska Sethi

Year of Call: 2007

Practice Summary

Rowena specialises in Financial Services Law and Regulation and has around 10 years experience in the Enforcement Legal Group at the (then) Financial Services Authority and its successor the Financial Conduct Authority. Rowena has been involved in a wide range of regulatory cases and litigation in the Upper Tribunal (Tax and Chancery Chamber), the High Court, Court of Appeal and Supreme Court at the FSA, FCA, the Treasury Solicitor and Government Legal Department.

Rowena originally qualified as a solicitor in 2001 and worked in private practice before joining the Treasury Solicitor. During her time in Central Government she was instructed in general private law litigation, immigration and other judicial review matters. Rowena has particular expertise in providing regulatory investigation support, interview planning, advice on regulatory breaches and contested authorisation and registration matters, including contentious fitness and propriety assessments. She is also has expertise in the field of Equality, Diversity and Inclusion.

Rowena is able to provide a full complement of investigation support, including ongoing advice on limitation assessments, the scope of investigations, interview planning, drafting disciplinary notices, advice on evidence and advice on penalty and settlement. Rowena has a particular interest and expertise in Change in Control notifications, Cryptocurrency Registrations under the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017, contested applications for authorisation and approval and advising on fitness and propriety assessments under the Senior Managers and Certification Regime. She also has experience in Skilled Persons reviews, Bribery and Corruption Investigations and Systems and Controls regulatory matters.

Rowena has considerable expertise in medical disciplinary matters and acts in substantive hearings as well as interim order applications and reviews.

Rowena has considerable experience in investigation support, including advising on complex and large-scale disclosure exercises. She has also been instructed to act in crytpo cases in respect of

internal systems and controls and AML/CTF compliance, as well as business-wide risk assessments and individual fitness and propriety assessments.

Rowena regularly acts for regulators in medical disciplinary cases, in respect of misconduct most notably dishonesty, as well as competence and capability issues.

reasons-valydon-ftpcsh-78719-20230519.pdf (nmc.org.uk)

reasons-cobbold-ftpcsh-87650-20230822.pdf (nmc.org.uk)

reasons-vigil-ftpcsh-87356-20231201.pdf (nmc.org.uk)

Memberships

- Member of the Gray's Inn EDI Committee
- University of York: English Literature and modern German literature
- York College of Law: studied for the CPE and LPC, qualifying as a solicitor in 2001.
- Called to the Bar in 2007 and is a member of Gray's Inn.
- In June 2012 I obtained a Masters Degree in Modern and Contemporary Literature, University of London.
- Senior in Hall at Gray's Inn: appointed January 2020 for a term of 5 years.
- Pupil Supervisor: Inner Temple trained, April 2020.
- Ambassador for the Diversity Project: appointed May 2022.
- Association of Regulatory & Disciplinary Lawyers

Qualifications

- University of York: English Literature and modern German literature
- York College of Law: studied for the CPE and LPC, qualifying as a solicitor in 2001.
- Called to the Bar in 2007 and is a member of Gray's Inn.
- In June 2012 I obtained a Masters Degree in Modern and Contemporary Literature, University of London.
- Senior in Hall at Gray's Inn: appointed January 2020 for a term of 5 years.
- Pupil Supervisor: Inner Temple trained, April 2020.
- Ambassador for the Diversity Project: appointed May 2022.
- Association of Regulatory & Disciplinary Lawyers

Areas of Expertise

- Administrative Public Law
- Business Crime
- Commercial
- Inquiries And Inquests
- Investigations And Resolutions
- Professional Discipline And Regulatory